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Finite Automata

MOTIVATION

AN EXAMPLE

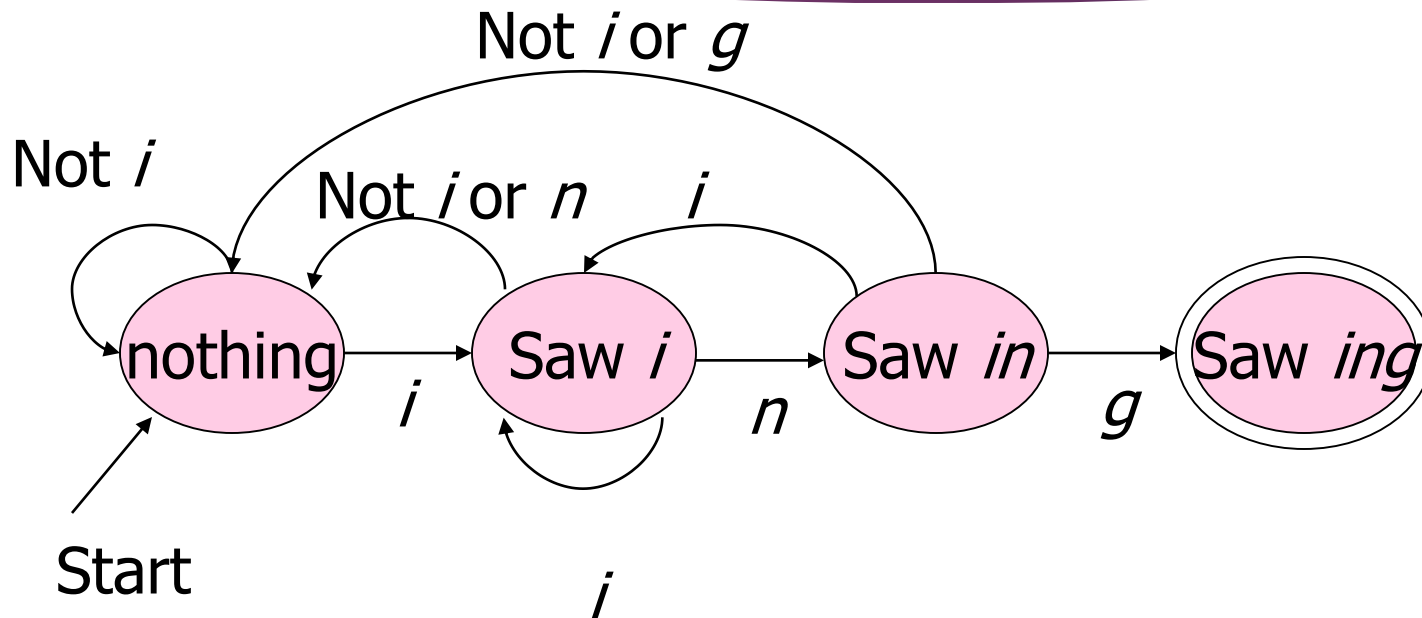
Informal Explanation

- ▶ Finite automata are finite collections of states with transition rules that take you from one state to another.
- ▶ Original application was sequential switching circuits, where the “state” was the settings of internal bits.
- ▶ Today, several kinds of software can be modeled by FA.

Representing FA

- ▶ Simplest representation is often a graph.
 - ▶ Nodes = states.
 - ▶ Arcs indicate state transitions.
 - ▶ Labels on arcs tell what causes the transition.

Example: Recognizing Strings Ending in "ing"



Automata to Code

- ▶ In C/C++, make a piece of code for each state.
This code:
 1. Reads the next input.
 2. Decides on the next state.
 3. Jumps to the beginning of the code for that state.

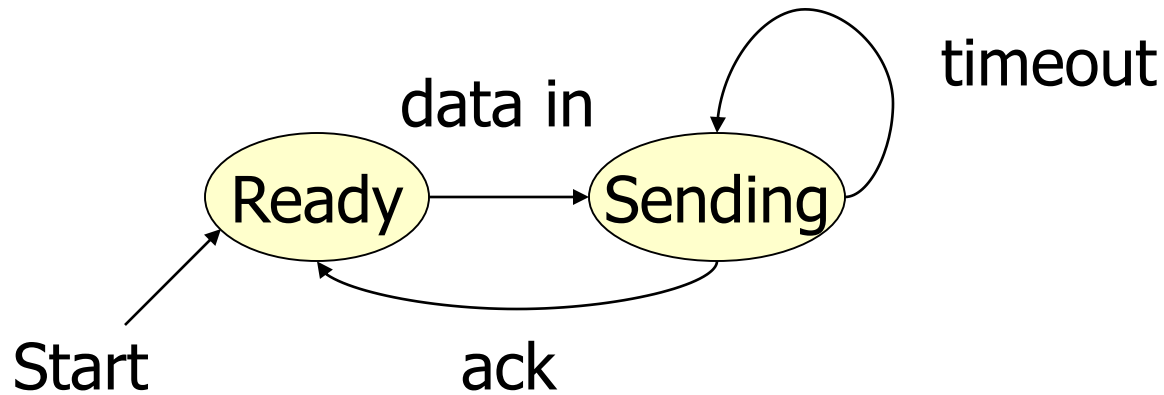
Example: Automata to Code

```
2: /* i seen */
   c = getNextInput();
   if (c == 'n') goto 3;
   else if (c == 'i') goto 2;
   else goto 1;
3: /* "in" seen */
   . . .
```

Automata to Code – Thoughts

- ▶ How would you do this in Java, which has no goto?
- ▶ You don't really write code like this.
- ▶ Rather, a code generator takes a “regular expression” describing the pattern(s) you are looking for.
 - ▶ **Example:** `.*ing` works in grep.

Example: Protocol for Sending Data



Extended Example

- ▶ Thanks to Jay Misra for this example.
- ▶ On a distant planet, there are three species, a, b, and c.
- ▶ Any two different species can mate. If they do:
 1. The participants die.
 2. Two children of the third species are born.

Strange Planet – (2)

- ▶ **Observation**: the number of individuals never changes.
- ▶ The planet *fails* if at some point all individuals are of the same species.
 - ▶ Then, no more breeding can take place.
- ▶ **State** = sequence of three integers – the numbers of individuals of species a, b, and c.

Strange Planet – Questions

- ▶ In a given state, must the planet eventually fail?
- ▶ In a given state, is it possible for the planet to fail, if the wrong breeding choices are made?

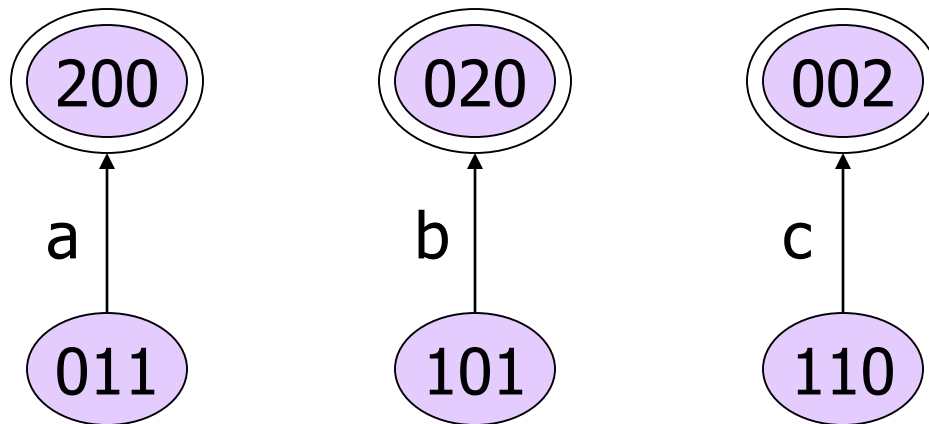
Questions – (2)

- ▶ These questions mirror real ones about protocols.
 - ▶ “Can the planet fail?” is like asking whether a protocol can enter some undesired or error state.
 - ▶ “Must the planet fail” is like asking whether a protocol is guaranteed to terminate.
 - ▶ Here, “failure” is really the good condition of termination.

Strange Planet – Transitions

- ▶ An *a-event* occurs when individuals of species b and c breed and are replaced by two a's.
- ▶ Analogously: b-events and c-events.
- ▶ Represent these by symbols a, b, and c, respectively.

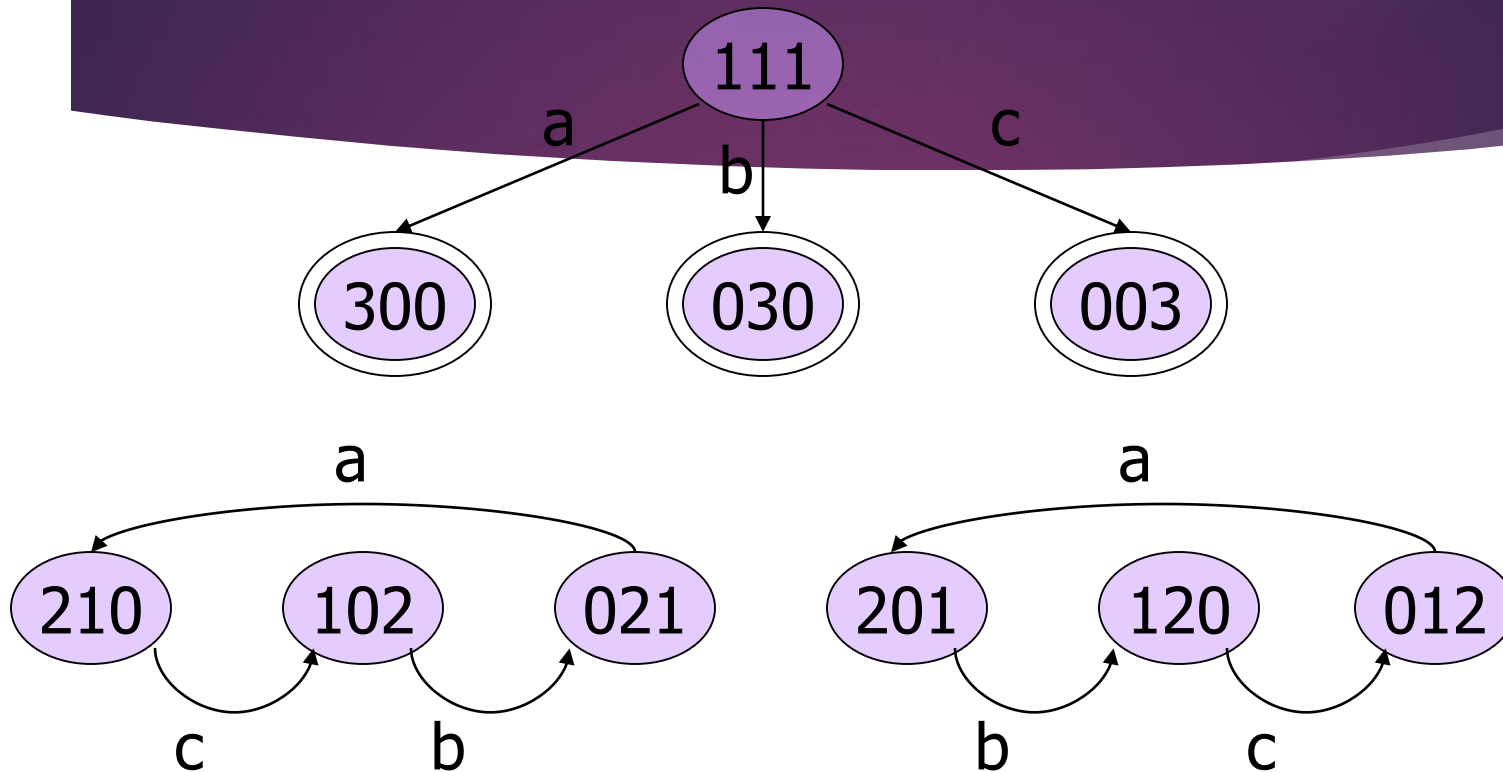
Orange Planet with 2 Individuals



Notice: all states are “must-fail” states.

Change Planet with 3 Individuals

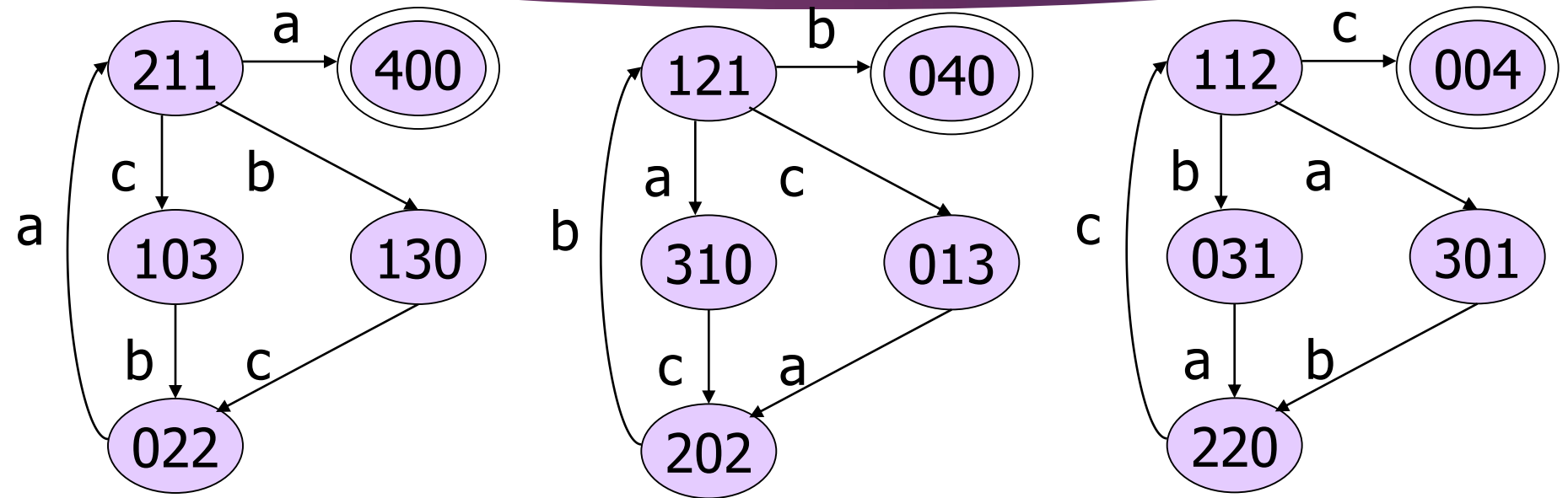
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Notice: four states are "must-fail" states.
The others are "can't-fail" states.

State 111 has several transitions.

Orange Planet with 4 Individuals

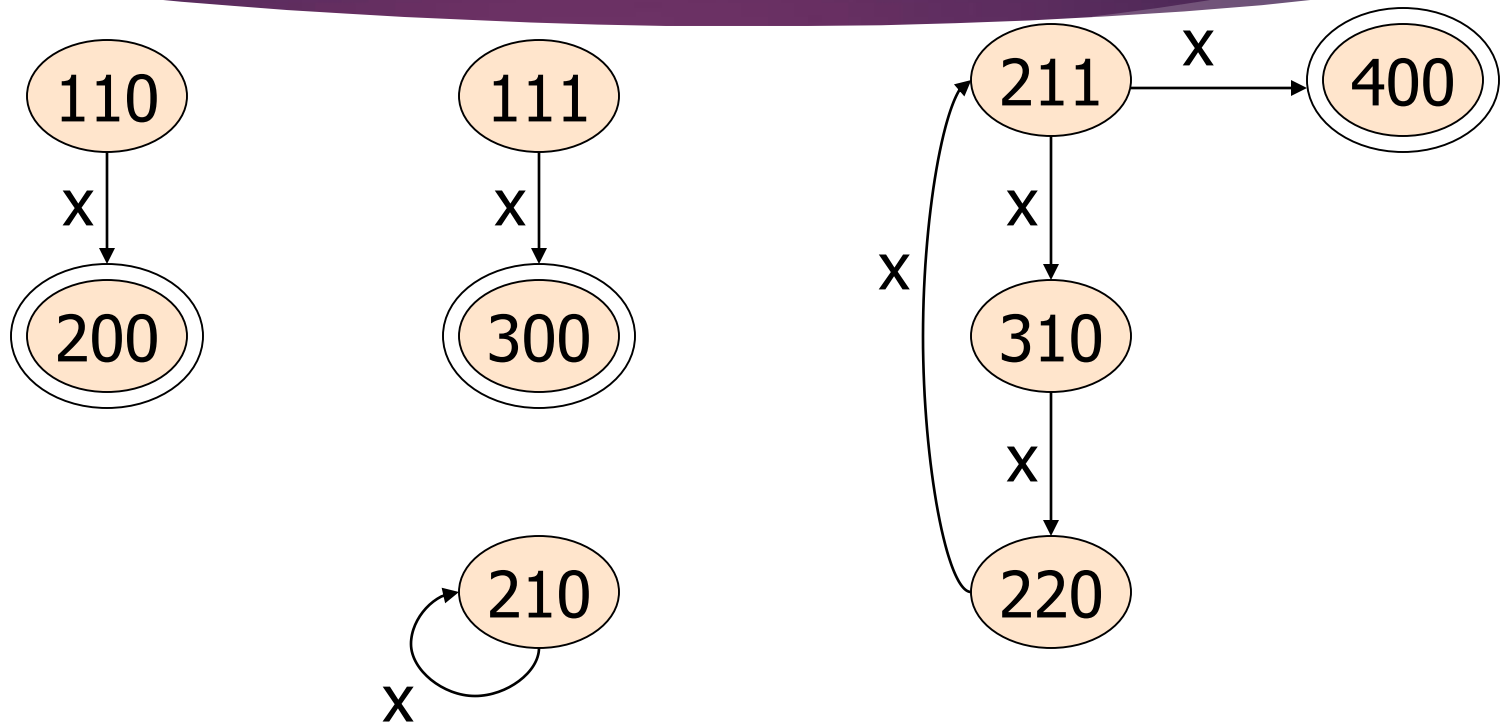


Notice: states 400, etc. are must-fail states.
All other states are "might-fail" states.

King Advantage of Symmetry

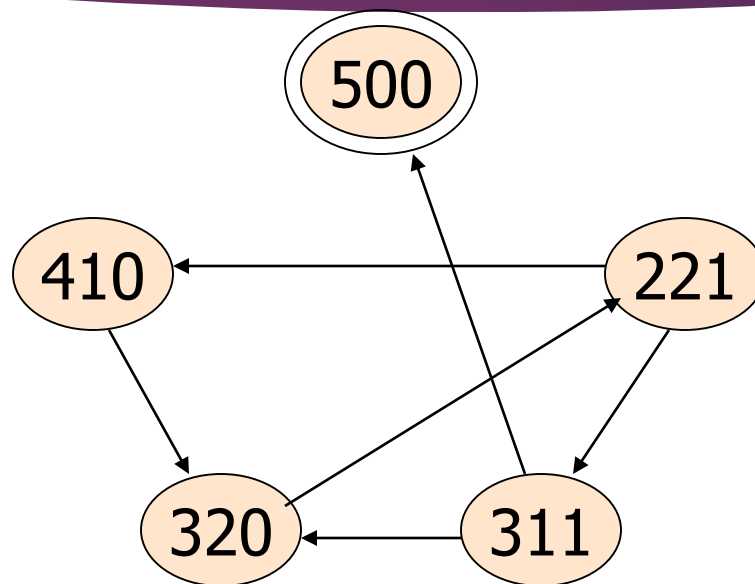
- ▶ The ability to fail depends only on the *set* of numbers of the three species, not on which species has which number.
- ▶ Let's represent states by the list of counts, sorted by largest-first.
- ▶ Only one transition symbol, x .

The Cases 2, 3, 4



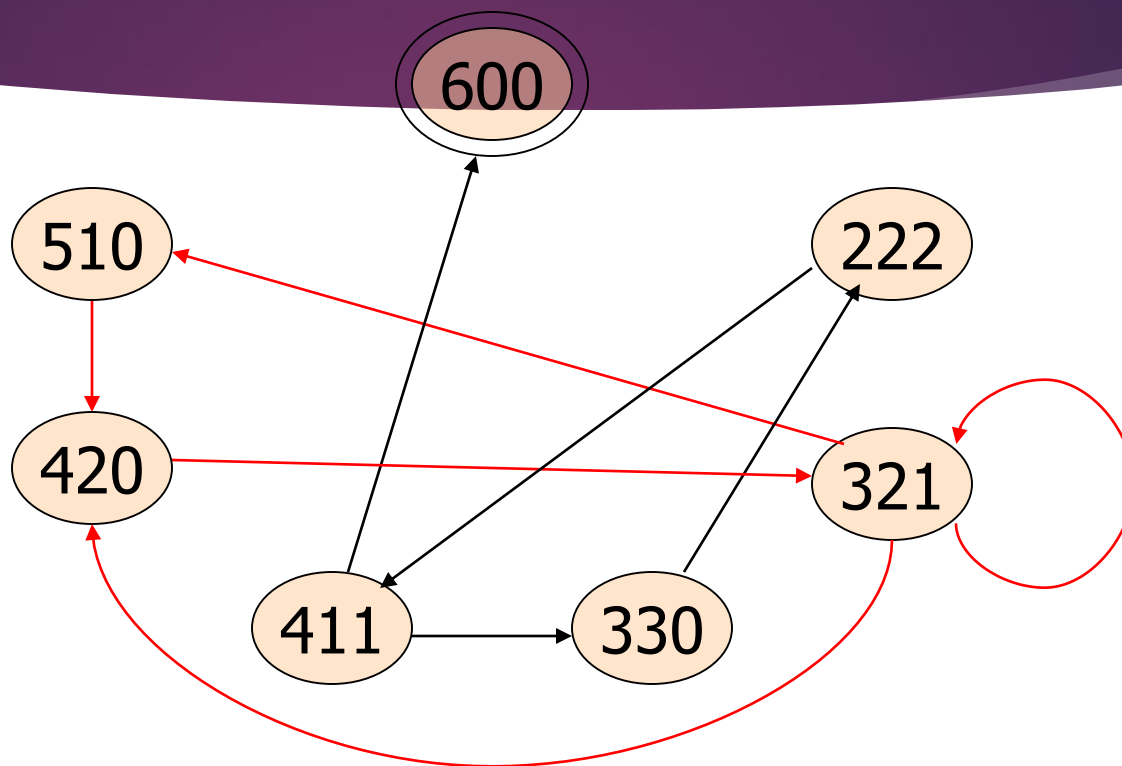
Notice: for the case $n = 4$, there is *nondeterminism*: different transitions are possible from 211 on the same input.

5 Individuals



Notice: 500 is a must-fail state; all others are might-fail states.

6 Individuals



Notice: 600 is a must-fail state; 510, 420, and 321 are can't-fail states; 411, 330, and 222 are "might-fail" states.

Questions for Thought

1. Without symmetry, how many states are there with n individuals?
2. What if we use symmetry?
3. For n individuals, how do you tell whether a state is “must-fail,” “might-fail,” or “can't-fail”?